

AGENDA

Meeting **Audit Panel**

Date **Tuesday 17 December 2013**

Time **3.30 pm**

Place **Committee Room 4, City Hall, The
Queen's Walk, London, SE1 2AA**

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Members of the Panel

John Biggs AM (Chairman)
Roger Evans AM (Deputy Chairman)
Gareth Bacon AM
Len Duvall AM

A meeting of the Panel has been called by the Chairman of the Committee to deal with the business listed below. This meeting will be open to the public. There is access for disabled people, and induction loops are available.

Mark Roberts, Executive Director of Secretariat
Monday 9 December 2013

Further Information

If you have questions, would like further information about the meeting or require special facilities please contact: Laura Pelling, Committee Officer; telephone: 020 7983 5526; email: laura.pelling@london.gov.uk.

For media enquiries please contact Mark Demery, Head of Assembly External Relations, telephone 020 7983 5769 and email: mark.demery@london.gov.uk.

If you have any questions about individual reports please contact the report author whose details are at the end of each report.

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Ta ba ri enikeni ti o ba ni ife ni eda ewe nla ti igbimo awon asoju tabi papa julo ni ede ti abinibi won, ki o kansiya lori ero ibanisoro. Nomba wa ni 020 7983 4100 tabi ki e kan si wa lori ero assembly.translations@london.gov.uk.

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Certificate Number: FS 80233

**Agenda
Audit Panel
Tuesday 17 December 2013**

1 Apologies for Absence and Chairman's Announcements

To receive any apologies for absence and any announcements from the Chairman.

2 Declarations of Interests (Pages 1 - 4)

The Panel is recommended to:

- (a) Note the list of offices held by Assembly Members, as set out in the table at Agenda Item 2, be noted as disclosable pecuniary interests;**
- (b) Note the declaration by any Member(s) of any disclosable pecuniary interests in specific items listed on the agenda and the necessary action taken by the Member(s) regarding withdrawal following such declaration(s); and**
- (c) Note the declaration by any Member(s) of any other interests deemed to be relevant (including any interests arising from gifts and hospitality received which are not at the time of the meeting reflected on the Authority's register of gifts and hospitality, and noting also the advice from the GLA's Monitoring Officer set out at Agenda Item 2) and any necessary action taken by the Member(s) following such declaration(s).**

3 Minutes (Pages 5 - 14)

The Panel is recommended to confirm the minutes of the meeting of the Audit Panel held on 22 October 2013 to be signed by the Chairman as a correct record.

4 Internal Audit Reports (Pages 15 - 112)

Report of the Executive Director of Resources

Contact: Tom Middleton, tom.middleton@london.gov.uk, telephone: 020 7983 4257

The Panel is recommended to note the contents of the internal audit:

- (a) Reports at appendices 1a to 1f;**
- (b) Follow up review at Appendix 2; and**
- (c) Note the progress report attached at Appendix 3**

The following appendices are attached to the report.

Appendix 1a	The Mayor's Economic Development Strategy and Implementation Framework	pages 19 to 36
Appendix 1b	Review of Desktop Management	pages 37 to 46
Appendix 1c	Review of Internet-Based Network Security	pages 47 to 57
Appendix 1d	Review of Decision Making Framework – Mayoral and Directorate	pages 59 to 72
Appendix 1e	Performance Management Framework	pages 73 to 87
Appendix 1f	General Ledger Control Framework	pages 89 to 100
Appendix 2	Treasury Management – Follow Up	pages 101 to 106
Appendix 3	Progress Report	pages 107 to 111

5 London's European Programmes (Pages 113 - 118)

Report of the Executive Director of Resources

Contact: Alex Conway; alex.conway@london.gov.uk; 020 7983 4600

The Panel is recommended to note the audit environment for European Programmes and note work currently underway.

6 Monitoring of Expenses and Taxable Benefits - Mayor, Elected Members and Senior Staff - 2013/14 (Pages 119 - 134)

Report of the Executive Director of Resources

Contact: Doug Wilson; doug.wilson@london.gov.uk; 020 7983 4038

The Panel is recommended to note the taxable benefits and expenses incurred by the Mayor, London Assembly Members and senior staff for the period 1 September 2013 to 31 October 2013.

7 Work Programme for the Audit Panel (Pages 135 - 138)

Report of the Executive Director of Secretariat

Contact: Laura Pelling, laura.pelling@london.gov.uk , 020 7983 5526

The Panel is recommended to approve its work programme for the 2013/14 Assembly year and identify any additional issues it wishes to consider at future meetings.

8 Date of Next Meeting

The next meeting of the Panel is scheduled for 20 March 2014 at 2.00pm in Committee Room 4.

9 Any Other Business the Chair Considers Urgent